

Customer No.	026418	
Attorney's Docket No.:	GK-BUE-103 / 500647.20004	
U.S. Application No.:	10/049549	
International Application No.:	PCT/CH00/00317	
International Filing Date:	JUNE 09, 2000	09 JUNE 2000
Priority Date Claimed:	AUGUST 18, 1999	18 AUGUST 1999
Title of Invention:	PROCEDURE AND DEVICES FOR MANUFACTURING CRYSTALLIZABLE PLASTIC MATERIAL	
Applicant(s) for (DO/EO/US):	Camille BORER; Martin MUELLER and Frank GLOECKNER	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of **Publication No. WO 01/12698 published 22FEB01** the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of **Publication No. WO 01/12698 published 22FEB01** the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **EXECUTED Dec/POA TO FOLLOW**
- ☒ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)] (Amended sheets and claims 1 - 8)

Items 11. to 16. Below concern other document(s) or information included:

- ☒ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☒ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. ☐ A **FIRST** preliminary amendment
- ☐ ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A substitute specification
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **PCT/IB/301 10JUL00; PCT/IB/308 22FEB01; PCT/IPEA/416* and PCT/IPEA/409* 28DEC01** (*in the German language)

EXPRESS MAIL No.: **EL 915 669 825 US**

Deposited: **February 13, 2002**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.


/Ruth Montalvo Date: **February 13, 2002**

U.S. Application No. (if known, see 37 C.F.R. 1.50):
International Application No.: PCT/CA 0317

Attorney's Docket No.

JP12 Rec'd PCT/PTO 13 FEB 2002
BUS-103 / 500647.20004

CALCULATIONS

PTO USE ONLY

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

☒ Search Report has been prepared by the EPO or JPO..... \$ 890.00
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 710.00
☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482]
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 740.00
☐ Neither International preliminary examination fee [37 CFR 1.482] nor
International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,040.00
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]
and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$890.00

Claims

Number
Filed

-20

Number
Extra

Rate

Total Claims (Article 36)

9(8)

x \$ 18. =

Indep. Claims

2

-03

x \$ 84. =

☒ Multiple Dependent Claim(s) (if applicable) + \$ 280. =

\$280.00

TOTAL OF ABOVE CALCULATIONS:

\$1,170.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the
earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$1,170.00

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

SUBTOTAL:

\$1,170.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months from the
earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE:

\$1,170.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied
by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

TOTAL FEE(S):

\$1,170.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDED
CHARGED

\$
\$

(Please note the filing fee is based on the amended claims in PCT/IPEA/409 Amendment pages)

☒ Check in the amount of \$ 1,170.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge
any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Gerald H. Kiel

Name (Tel. (212) 521-5400)

Signature

25,116

Reg. No.

February 13, 2002

Date

10/049549

JG13 Rec'd PCT/PTO 13 FEB 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE		
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ENGLISH
TRANSLATION
OF
PUBLICATION NO.
WO 01/12698
DATED 22FEB01